



Alton Coal Development, LLC

463 North 100 West, Suite 1

Cedar City, Utah 84720

Phone (435) 867-5331 • Fax (435) 867-1192

COPY

C0250005

Incoming

#3665

Q

Date: October 27, 2010

Daron R. Haddock
Coal Program Manager
Oil, Gas & Mining
1594 West North Temple, Suite 1210
Salt Lake City, UT 84114-5801

Subject: Mine and Reclamation Plan Revision – Change in Ownership and Control
Alton Coal Development LLC, Coal Hollow Mine, C/025/0005

Dear Mr. Haddock,

Enclosed are C1/C2 forms, a redline version of proposed changes to the text for Chapter 1 and an additional appendix for the Coal Hollow Mine and Reclamation Plan (MRP), C/025/0005. The proposed edits, in combination with the enclosed appendix, detail the recent changes in ownership and control for Alton Coal Development, LLC.

This information is provided pursuant to Utah Administrative Code R645-301-112.900.

Please let me know if you have any questions or concerns. I can be contacted at (435) 233-0528.

Sincerely,

Chris McCourt
President

File in:

☐ Confidential

☐ Shelf

☒ Expandable

Date Folder 10/28/2010 C/0250005

See: Incoming For additional

RECEIVED

OCT 28 2010

DIV. OF OIL, GAS & MINING

APPLICATION FOR COAL PERMIT PROCESSING

COPYPermit Change ☐ New Permit ☒ Renewal ☐ Exploration ☐ Bond Release ☐ Transfer ☐Permittee: Alton Coal Development, LLCMine: Coal HollowPermit Number: C/025/0005Title: Mining and Reclamation Plan - Ownership and Control Revisions

Description, Include reason for application and timing required to implement:

Revised Chapter 1 Text and a new Appendix are provided to address changes to company ownership**Instructions:** If you answer yes to any of the first eight (gray) questions, this application may require Public Notice publication.

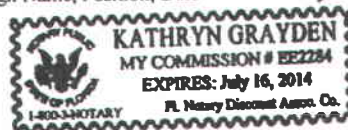
- ☐ Yes ☒ No 1. Change in the size of the Permit Area? Acres: _____ Disturbed Area: _____ ☐ increase ☐ decrease.
- ☐ Yes ☒ No 2. Is the application submitted as a result of a Division Order? DO# _____
- ☐ Yes ☒ No 3. Does the application include operations outside a previously identified Cumulative Hydrologic Impact Area?
- ☐ Yes ☒ No 4. Does the application include operations in hydrologic basins other than as currently approved?
- ☐ Yes ☒ No 5. Does the application result from cancellation, reduction or increase of insurance or reclamation bond?
- ☐ Yes ☒ No 6. Does the application require or include public notice publication?
- ☒ Yes ☐ No 7. Does the application require or include ownership, control, right-of-entry, or compliance information?
- ☐ Yes ☒ No 8. Is proposed activity within 100 feet of a public road or cemetery or 300 feet of an occupied dwelling?
- ☐ Yes ☒ No 9. Is the application submitted as a result of a Violation? NOV # _____
- ☐ Yes ☒ No 10. Is the application submitted as a result of other laws or regulations or policies?

Explain: _____

- ☐ Yes ☒ No 11. Does the application affect the surface landowner or change the post mining land use?
- ☐ Yes ☒ No 12. Does the application require or include underground design or mine sequence and timing? (Modification of R2P2)
- ☐ Yes ☒ No 13. Does the application require or include collection and reporting of any baseline information?
- ☐ Yes ☒ No 14. Could the application have any effect on wildlife or vegetation outside the current disturbed area?
- ☐ Yes ☒ No 15. Does the application require or include soil removal, storage or placement?
- ☐ Yes ☒ No 16. Does the application require or include vegetation monitoring, removal or revegetation activities?
- ☐ Yes ☒ No 17. Does the application require or include construction, modification, or removal of surface facilities?
- ☐ Yes ☒ No 18. Does the application require or include water monitoring, sediment or drainage control measures?
- ☐ Yes ☒ No 19. Does the application require or include certified designs, maps or calculation?
- ☐ Yes ☒ No 20. Does the application require or include subsidence control or monitoring?
- ☐ Yes ☒ No 21. Have reclamation costs for bonding been provided?
- ☐ Yes ☒ No 22. Does the application involve a perennial stream, a stream buffer zone or discharges to a stream?
- ☐ Yes ☒ No 23. Does the application affect permits issued by other agencies or permits issued to other entities?

Please attach four (4) review copies of the application. If the mine is on or adjacent to Forest Service land please submit five (5) copies, thank you. (These numbers include a copy for the Price Field Office)

I hereby certify that I am a responsible official of the applicant and that the information contained in this application is true and correct to the best of my information and belief in all respects with the laws of Utah in reference to commitments, undertakings, and obligations herein.

ROBERT NEAD
Print Name[Signature] 10-26-2010
Sign Name, Position, DateSubscribed and sworn to before me this 26 day of OCTOBER, 20 10[Signature]
Notary PublicMy commission Expires: July 16, 20 14
Attest: State of FLORIDA) ss:
County of COLLIER

For Office Use Only:

Assigned Tracking
Number:

Received by Oil, Gas & Mining

RECEIVED

OCT 28 2010

DIV. OF OIL, GAS & MINING

Title: Ownership, Control and Compliance Update

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R645-301-100

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CHAPTER 1

R645-301-100. GENERAL CONTENTS

110 LEGAL, FINANCIAL, COMPLIANCE, and RELATED INFORMATION

110 INTRODUCTION

Alton Coal Development, LLC is submitting a Mining and Reclamation Plan for the Coal Hollow Project to the Utah Division of Oil, Gas and Mining pursuant to rules governing coal mine permitting at R645-301-100 et seq. Permit Area Base Drawing – Drawing 1-1.

112 IDENTIFICATION OF INTERESTS

112.100 Business Entity

Applicant, Alton Coal, LLC, is a limited liability company duly organized and validly existing under the laws of the State of Nevada, and authorized to conduct business under the laws of the State of Utah.

112.200 Names, address, telephone number, and employer identification number of:

112.210 Applicant:

Alton Coal Development, LLC
463 N. 100 W, Suite 1
Cedar City, UT 84720
Telephone (435) 867- 5331
EIN: 42-1655092

Contract Miner:

Kane Mining, LLC
463 N. 100 W, Suite 1
Cedar City, UT 84720
EIN: 27-3192929

112.220 Resident Agent for Applicant:

Chris R. McCourt

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Alton Coal Development, LLC's
members and manager provided in
"CONFIDENTIAL BINDER"

¶
112.210 Operator,
Alton Coal Development

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Employer I. D. #42-1655092

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of Nevada

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463 N. 100 W., Suite 1
Cedar City, Utah 84720
(435) 867-5331

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Resident Agent for Contract Miner:

CT Corporation System
123 East South Temple
Suite 2100
Salt Lake City Utah 84111

112.230 **Person who will pay the Abandoned Mine and Reclamation Fee:**

Robert C. Nead, Jr.

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112.300 **Ownership and Control Information**

Description of Ownership and Chart showing the "Family Tree" for Alton Coal Development, LLC, attached in Appendix 1-10

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112.310 **Applicant:**

Members Holding Ten Percent (10%) or more of Ownership of Applicant:

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James Wayland
2841 Capistrano Way
Naples, FL 34105

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SH Coal Investment, LLC
2375 Cambridge Rd.
Coshocton, Ohio 43818
EIN: 27-3192975

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Owners of SH Coal Investment, LLC:

SH Coal Investment, LLC, is a Delaware limited liability company, which is a wholly owned company of Sleepy Hollow Mineral Investors, LLC, which is a Delaware limited liability company.

Sleepy Hollow Mineral Investors, LLC 100%
P.O. Box 1058
Coshocton, Ohio 43812
EIN: 27-3192842

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Sleepy Hollow Mineral Investors, LLC, is owned as follows:

Charles Ungurean 50%
2375 Cambridge Road
Coshocton, Ohio 43812

Thomas Ungurean 50%
1690 Sleepy Hollow Drive
Coshocton, Ohio 43812

Managers and Officers of Applicant:

James Wayland – Manager

Chris McCourt – President for Operations
463 N. 100 W., Suite 1
Cedar City, Utah 84720
(435) 867-5331

Social Security numbers of Alton Coal Development, LLC's individual member, manager and officer and for Charles Ungurean and Thomas Ungurean provided in "CONFIDENTIAL BINDER" Appendix 1-1

Contract Miner:

Members and Manager of Contract Miner:

Charles Ungurean
2375 Cambridge Road
Coshocton, Ohio 43812

Thomas Ungurean
1690 Sleepy Hollow Drive
Coshocton, Ohio 43812

Social Security numbers of individual members Charles Ungurean and Thomas Ungurean provided in "CONFIDENTIAL BINDER" Appendix 1-1

112.320 Relationship to the Applicant

Ownership:
James Wayland 25.5%
SH Coal Investment, LLC 49.0%

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Cedar City, UT 84721¶

¶
Member STONIE BARKER, JR.¶
1878 Mission Dr. ¶
Naples, FL 34109¶

¶
Member ALEXANDER D. MIRROW
¶
2514 Oleaster Ct. ¶
Grand Junction, CO 81505¶

¶
Past Member BEVERLY HOLWERDA¶
960 Cape Marco

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34145¶

¶
Member ROBERT C. NEAD, JR.¶
6602 Ilex Circle¶
Naples, FL 34109¶

¶
Member JAMES J. WAYLAND¶
2841 Capistrano Way¶
Naples, FL 34105¶

¶
Member ANTHONY P. GARGIULO¶
960 Cape Marco Dr. #1001¶
Marco Island, FL 34145¶

¶
All members and managers use
the employer identificati ... [1]

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company ownership as defined by ... [2]

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Mirrow: 15% Ownership¶ ... [3]

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Control:
James Wayland Manager
Chris McCourt President of Operations
SH Coal Investment, LLC Owner
Sleepy Hollow Mineral Investors, LLC:
Charles Ungurean Manager
Thomas Ungurean Manager

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Relationship to the Contract Miner

Ownership:
Charles Ungurean 50.0%
Thomas Ungurean 50.0%

Control:
Charles Ungurean Manager
Thomas Ungurean Manager

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 10% Ownership¶
 Beverly Holwerda 0% Ownership¶

112.330 Title and Date of Position

Applicant:

James Wayland Manager
September 9, 2004 (begin date)

Chris McCourt President of Operations
October 1, 2010 (begin date)

Deleted: The manager listed in 112.310 was appointed July 17, 2007. The following is a listing of each member and their individual date of appointment and/or departure:¶
 Stonie Barker, Jr.: 9/9/04¶
 Alexander D. Mirrow: 9/9/04 ¶

Robert C. Nead, Jr.
Person in charge of payments pursuant to R645-300-147
September 9, 2004 (begin date)
SH Coal Investments, LLC Owner
Sleepy Hollow Mineral Investors, LLC Manager of SH Coal
Investments, LLC
August 2, 2010 (begin date)
Sleepy Hollow Mineral Investors, LLC
Charles Ungurean Manager
August 2, 2010 (begin date)
Thomas Ungurean Manager
August 2, 2010 (begin date)

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 Wayland: 9/9/04¶
 Anthony O. Gargiulo: 1/1/08¶
 Beverly Holwerda: 9/9/04 with departure 4/30/08 ¶

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Contract Miner

Charles Ungurean Manager
August 2, 2010 (begin date)
Thomas Ungurean Manager
August 2, 2010 (begin date)

112.340. Ownership or control of Other Coal Mining and Reclamation Operations

Applicant:

With the exception of Charles Ungurean and Thomas Ungurean, neither Alton Coal Development, LLC nor its manager or members **has owned or controlled other** coal mining **or** reclamation **operations**. See attached Appendix 1-10 for listing of Ungurean's operations.

Contract Miner:

Kane Mining, LLC has not owned or controlled other coal mining or reclamation operations.

Its Members:

Charles Ungurean and Thomas Ungurean. (See attached Appendix 1-10)

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112.350 Application Number – Other Pending Coal Mining and Reclamation Operations

Applicant:

With the exception of Charles Ungurean and Thomas Ungurean, neither Alton Coal Development, LLC nor its manager or members **has owned or controlled other** coal **mining or reclamation operations**. The Ungurean's operations are described at Appendix 1-10.

Contract Miner:

Kane Mining, LLC has not owned or controlled other coal mining or reclamation operations.

Its Members:

Charles Ungurean and Thomas Ungurean. (See attached Appendix 1-10)

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112.400 Coal Mining and Reclamation Operations Owned or Controlled

Applicant:

With the exception of Charles Ungurean and Thomas Ungurean, neither Alton Coal Development, LLC nor its manager or members **has owned** or

controlled other coal mining or reclamation operations. The Ungorean's
operations are described at Appendix 1-10.

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Contract Miner:

Kane Mining, LLC has not owned or controlled other coal mining or
reclamation operations.

Its Members:

Charles Ungorean and Thomas Ungorean. (See attached Appendix 1-10)

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112.410 Coal Mining and Reclamation Operations Owned or Controlled by Managers or
Members of Alton Coal Development, LLC

Applicant:

With the exception of Charles Ungorean and Thomas Ungorean, neither,
Alton Coal Development, LLC nor its manager or members has owned or
controlled other coal mining or reclamation operations. The Ungorean's
operations are described at Appendix 1-10.

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Contract Miner:

Kane Mining, LLC has not owned or controlled other coal mining or
reclamation operations.

Its Members:

Charles Ungorean and Thomas Ungorean. (See attached Appendix 1-10)

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112.420 Ownership and Control Relationship of Managers and Members of Alton Coal
Development, LLC

Relationship to the Applicant

Applicant:

James Wayland Manager
September 9, 2004 (begin date)

Chris McCourt President of Operations
October 1, 2010 (begin date)

Robert C. Nead, Jr.
Person in charge of payments pursuant to R645-300-147
September 9, 2004 (begin date)

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at § 112 320 own or control more than
10% of Alton

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SH Coal Investments, LLC Owner
Sleepy Hollow Mineral Investors, LLC Manager of SH Coal
Investment, LLC
August 2, 2010 (begin date)
Sleepy Hollow Mineral Investors, LLC
Charles Ungurean Manager
August 2, 2010 (begin date)
Thomas Ungurean Manager
August 2, 2010 (begin date)

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Relationship to the Contract Miner

Ownership:
Charles Ungurean 50.0%
Thomas Ungurean 50.0%

Control:
Charles Ungurean Manager
Thomas Ungurean Manager

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James Wayland 25.5%¶
SH Coal DevelopmentInvestment,
LLC 49.0%¶
¶
Control:¶
James Wayland Manager¶
Chris McCourt President of
Operations¶
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112.500 Legal or Equitable Owner of the Surface and Mineral Properties

The legal and equitable owners of the properties to be affected by this mining operation during the duration of the permit period along with legal descriptions are included in this section. Surface and coal ownership are also shown on Drawings 1-3 and 1-4. The following table is a summary of the ownership within the Permit boundary.

Permit Area Ownership (Acres)**				
	Fee	Federal	State	Total
Surface	635	0	0	635
Coal*	435	200	0	635
Total				

Note*: Federal minerals located within the Permit area are not planned for mining as part of this application. These areas have been included as part of the LBA application described in 112.800.
Note**: Acreages are approximate based on legal descriptions

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The legal description for lands included within the Permit Boundary is provided below for each surface owner.

SURFACE OWNERSHIP:

Owner/Lessor:

Lessee:

C. Burton Pugh
533 N 650 E
Lindon, Utah 84042-1567

Alton Coal Development, LLC

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801-785-6220

Legal Description (C. Burton Pugh Property):

TOWNSHIP 39 SOUTH-RANGE 05 WEST, SLB&M

Section 30: All of Section Lot #1 (NW $\frac{1}{4}$ NW $\frac{1}{4}$); NE $\frac{1}{4}$ NW $\frac{1}{4}$; N $\frac{1}{2}$ NE $\frac{1}{4}$;
ALSO: BEGINNING 3.50 chains West of the East Quarter corner of Said
Section 30, and running South 34° 34' West 22.64 chains to the 1/16
section line; thence West 2.64 chains to the Southwest corner of NE $\frac{1}{4}$
SE $\frac{1}{4}$ of Said Section 30; thence North 40.00 chains; thence East 20.00
chains; thence South 14.69 chains; thence southwesterly to the point of
beginning

....containing 217.64 acres, more or less.

TOWNSHIP 39 SOUTH-RANGE 05 WEST, SLB&M

Section 29: BEGINNING at the Northwest corner of Said Section 29, and
running thence South 34.69 chains; thence North 33°22' East 35.50
chains; thence North 40° West 0.58 chains; thence North 37°30' East
12.30 chains; thence West 22.23 chains to the point of beginning.

....containing 36.04 acres, more or less.

TOWNSHIP 39 SOUTH-RANGE 05 WEST, SLB&M

Section 19: SW $\frac{1}{4}$ SE $\frac{1}{4}$, E $\frac{1}{2}$ SE $\frac{1}{4}$, SE $\frac{1}{4}$ NE $\frac{1}{4}$

....containing 160.0 acres, more or less

TOWNSHIP 39 SOUTH-RANGE 05 WEST, SLB&M

Section 20: SW $\frac{1}{4}$

....containing 160.0 acres, more or less

COAL OWNERSHIP:

Owner/Lessor:

C. Burton Pugh
533 N 650 E
Lindon, Utah 84042-1567
801-785-6220

Lessee:

Alton Coal Development, LLC

Roger M. Pugh
140 South 100 West
Kanab, UT 84741

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Mark and Margaret Moyers
9397 Avanyu Drive
Pleasant Grove, UT 84062

SURFACE OWNERSHIP:

Owner/Lessor:

Lessee:

Alecia Swapp Dame Trust
Through Richard, Trustee
1620 Georgia Ave.
Boulder City, NV 89
702-293-4773

Alton Coal Development, LLC

Legal Description (Alecia Dame Swapp Trust):

TOWNSHIP 39 SOUTH-RANGE 05 WEST, SLB&M

Section 30: BEGINNING at a point 5.31 chains North of the E $\frac{1}{4}$ corner of Said Section 30, and running thence South 45.31 chains; thence West 20.00 chains; thence North 20.00 chains; thence East 2.64 chains; thence North 34° 34' East 22.64 chains to the 1/16 section line; thence North 33° 22' East to the point of beginning.

....containing 61.96 acres, more or less.

COAL OWNERSHIP:

Owner/Lessor:

Lessee:

Alecia Swapp Dame Trust
Through Richard, Trustee
1620 Georgia Ave.
Boulder City, NV 89
702-293-4773

Alton Coal Development, LLC

112.600 Owners of Record of Property Contiguous to Proposed Permit Area

Owners of surface properties contiguous to the proposed permit area are shown on Drawing 1-3 and the name and address of each such owner is as follows:

Department of the Interior, Bureau of Land Management
District and Regional Office
Salt Lake City, Utah

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Darlynn and Arlene Sorensen
Orderville, Utah
435-648-2462

112.700 MSHA Numbers

The MSHA Mine Identification Number for the Coal Hollow Project is 42-02519.

112.800 Interest in Contiguous Lands

The applicant has interest in lands contiguous to the permit area. A Lease by Application (LBA) is currently being processed by the United States Department of the Interior, Bureau of Land Management, Salt Lake City, Utah.

Alton Coal Development, LLC, the sole party in interest, submitted the LBA application in September, 2004. The LBA is contiguous to the permit area and contains approximately 3,581 acres. See Drawing 1-2 for LBA delineation.

In addition to the LBA application, Alton Coal Development, LLC also has property leased from C. Burton Pugh located east of the permit boundary. This property which is contiguous to the permit area, is part of a land tract (9-5-20-2) owned by Mr. Pugh that is split across the permit boundary and is located in Section 20, Township 30 South, Range 5 West. This entire tract was leased prior to the final determination of the Permit Boundary (9/10/04). The area leased from Mr. Pugh outside the Permit Boundary are not planned for development except for approximately 43 acres located in the SW¼, NW¼ Section 20 which is included as part of the LBA application. The 43 acres would possibly be developed for surface coal mining operations if the LBA mining rights are successfully acquired. Land tracts leased by Alton Coal Development, LLC within and contiguous to the permit area are identified on Drawing 1-3.

112.900 Certification of Submitted Information

After Alton Coal Development, LLC is notified that the application is approved, but before the permit is issued, Alton Coal will update, correct or indicate that no change has occurred in the information submitted under R645-301-112.100 through .800.

113 VIOLATION INFORMATION

Neither the applicant, affiliates, members or managers or persons controlled by or under common control with the applicant (including Charles Ungurean and Thomas Ungurean, as confirmed by the Applicant/Violator System (AVS) search, dated October 8, 2010) has: (i) had a federal or state mining permit suspended or revoked in the last five years; (ii) nor forfeited a mining bond or similar security deposited in lieu of a bond. With the exception of Charles Ungurean and Thomas Ungurean, neither the applicant, affiliates, members or managers or persons controlled by or under common control with the applicant has received a violation during the last three year period. Compliance information on Ungurean's operations is attached at Appendix 1-10.

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114 RIGHT OF ENTRY INFORMATION

Applicant bases its right to enter and begin coal mining activities in the permit area and the consent of the surface owner to extract coal by surface mining methods upon the following documents:

<i>Lessor:</i>	<i>Lessee:</i>
C. Burton Pugh	Alton Coal Development, LLC
<u>Surface and Mineral Lease</u> , dated 9/10/04; originally recorded 5/25/06	

<i>Lessor:</i>	<i>Lessee:</i>
Roger M. Pugh	Alton Coal Development, LLC
<u>Mineral Lease</u> , dated 9/11/08; recorded 9/11/08	

<i>Lessor:</i>	<i>Lessee:</i>
Margaret and Mark Moyers	Alton Coal Development, LLC
<u>Mineral Lease</u> , dated 6/26/08; recorded 7/21/08	

<i>Lessor:</i>	<i>Lessee:</i>
Alecia Swapp Dame Trust	Alton Coal Development, LLC
<u>Surface and Mineral Lease</u> , dated 4/29/05; recorded 5/17/06	

Copies of these lease assignments are included in Appendix 1-2 located in the Volume 7, Confidential binder.

115 STATUS OF UNSUITABILITY CLAIMS

115.100 The permit area is not within an area or under study as an area designated as unsuitable for mining under R645-103-400, nor has any petitions been filed with the UDOGM under R645-103-420 that could affect the proposed permit area. The Coal Hollow Project is located on private lands adjacent to federal lands, which after careful consideration were declared suitable for mining in 1980 by then Secretary of Interior Andrus. Secretary's Decision, Petition to Designate Certain Federal Lands In Southern Utah Unsuitable for Surface Coal Mining, OSM Ref No. 79-5-001, dated December 16, 1980, copy attached at Appendix 1-3.

This petition was filed under the provisions of section 522(c) of the federal Surface Mining Control and Reclamation Act ("SMCRA"). OSM Notice, Receipt of a Complete Petition for Designation of Lands as Unsuitable for Surface Coal Mining Operations, 45 fed. Reg. 3398, Jan. 17, 1980, attached at Appendix 1-3.

Those federal lands in the Petition area found suitable for mining include lands adjacent to the private lands which the Project has included in a federal lease by application and located in Kane County, Utah within Township 39 South, Ranges 5 and 6 West, SLM. Secretarial Decision at Paragraph 4. The Secretarial Decision was based on an extensive Administrative Record, including the Petition

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filed under Section 533 of SMCRA, 30 U.S.C. Section 1272, public hearings, a combined petition evaluation document and environmental impact statement published in two volumes on November 26, 1980 as, "Southern Utah Petition Evaluation Document" and the "Southern Utah Petition Evaluation Document - Comments and Responses." The Secretarial Decision was further supported by a 52 page Statement of Reasons, dated January 13, 1981, attached at Appendix 1-3.

The Secretarial Decision was upheld by the federal court in *Utah International, Inc. v. Watt*, 553 F. Supp. 872 (D. Utah 1982).

- 115.300 Coal mining and reclamation activities at the Coal Hollow Project are not planned within 300 feet of an occupied dwelling or 100 feet of a public road. Drawing 1-5 shows the proximity of the Swapp Ranch to the planned operations.

116 PERMIT TERM

- 116.100 There are 3 mining phases associated with this permit term. The first phase of mining is anticipated to start July 1, 2008. Each mining phase has a 1 year term. Phase 3 is anticipated to conclude in year 2012.

Acres of disturbance per Mining Phase

Phase 1	286 acres
Phase 2	109 acres
Phase 3	38 acres

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- 116.200 Permit Term

The Coal Hollow Mine Project is proposed for a 5-year term under the Permanent Regulatory Program for 5 years

117 INSURANCE, PROOF OF PUBLICATION

Proof of publication pursuant to R645-303-322 is included in Appendix 1-5.

- 117.100 Certificate of Liability Insurance

A copy of the Certificate of Liability Insurance is found in Appendix 1-4.

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118 PERMIT FILING FEE

A copy of this permit is on file with the Utah Division of Oil, Gas and Mining (UDOGM), P.O. Box 145801, Salt lake City, Utah 84114-5801. A filing fee of \$5.00 accompanied permit submittal.

120 PERMIT APPLICATION FORMAT AND CONTENTS

This permit application contains information and will comply with R645-301-120. A notarized statement attesting to the accuracy of this information is set forth at Appendix 1-6.

130 REPORTING OF TECHNICAL DATA

All technical data submitted in the permit application will be accompanied by the name or organization responsible for the collection and analysis of data, dates of collection and descriptions of methodology used. Technical analyses will be planned by or under the direction of a qualified professional in the subject to be analyzed.

The following assisted or were consulted in the preparation of this permit application:

State of Utah, Department of Natural Resources
Division of Oil, Gas and Mining
Salt Lake City, Utah

Department of the Interior, Bureau of Land Management
District and Regional Office
Kanab and Salt Lake City, Utah

United States Geological Survey, Utah Region
Salt Lake City, Utah

United States Department of Agriculture
Natural Resources Conservation Service
Salt Lake City, Richfield and Cedar City, Utah

State of Utah, Department of Natural Resources
Division of Wildlife Resources (DWR)
Salt Lake City, Price and Cedar City, Utah

Dr. James E. Nelson
Brigham Young University
Provo, UT

Dr. Patrick D. Collins
Mt. Nebo Scientific Research & Consulting
Springville, UT

Talon Resources, Inc
Huntington, UT

Erik Petersen, P.G.
Petersen Hydrologic, LLC
Lehi, UT

C. Burton Pugh
Lindon, UT

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John T. Boyd Company
James Boyd
Mining & Geological Consulting
Canonsburg, PA

John T. Boyd Company
Rich Bate
Mining & Geological Consulting
Denver, CO

Keith Montgomery
Montgomery Archaeological
Moab, UT
Dr. Stephen Petersen
Philomath, OR

Larry Hayden-Wing
Hayden-Wing Associates, LLC
Laramie, WY

Mark Page
Water Rights Consultant
Price, UT

D.A. Smith Drilling
Loma, CO

Kane County
76 North Main
Kanab, UT

Heaton Livestock
PO Box 100773
Alton, UT

Patricia Stavish
Montgomery Archeological
Moab, UT

| Byron Caton
| SGS North America, Inc
| Denver, CO
|

Richard Dame
Boulder City, NV

University of Miami
Miami, FL

Geochron Laboratories
Cambridge, MA

Energy Labs
Billings, MT

Taylor Geo-Engineering
Alan O. Taylor
Lehi, UT

Long Resource Consultants
Robert E. Long
Morgan, UT

JBR Environmental, Inc.
Dawn Whaley
Sandy, UT

Bruce Chesler
Escalante, UT

A.H. Hamblin
Paleontological Consulting
Cedar City, UT

Mike Shurtz, C.E.T
AGEC
Cedar City, UT

Inter-Mountain Laboratories
Karen Secor
1673 Terra Avenue
Sheridan, WY

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Glenn Grossman
Will Spitzenberg, P.E.
Boss Engineering
Pleasant Grove, UT

Tom Campbell
TerraTek
Salt Lake City, UT

140 DRAWING AND PLANS

The Drawing and plans in the Mining and Reclamation Plan are submitted consistent with the requirement of R645-301-140.

150 COMPLETENESS

Alton Coal Development, LLC represents that the information contained in the Coal Hollow Mining and Reclamation Plan permit application to be complete and correct.

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Marco Island, FL 34145

Member ROBERT C. NEAD, JR
6602 Ilex Circle
Naples, FL 34109

Member JAMES J. WAYLAND
2841 Capistrano Way
Naples, FL 34105

Member ANTHONY P. GARGIULO
960 Cape Marco Dr. #1001
Marco Island, FL 34145

All members and managers use the employer identification
number of Alton Coal Development, LLC No. 42-
1655092

The following is a listing of company ownership as defined by
R645-100-200 "Owned or Controlled":

Stonie Barker, Jr.: 10%

Alexander D. Mirrow: 15% Ownership
Robert C. Nead, Jr.: 15% Ownership

APPENDIX 1-10

**FAMILY TREE
OWNERSHIP AND CONTROL OF
ALTON COAL DEVELOPMENT, LLC**

FAMILY TREE OF ALTON COAL DEVELOPMENT, LLC

Alton Coal Development, LLC is a Nevada limited liability company, registered to do business in Utah.

The company is owned by various members, of which only two members own ten percent (10%) or more of the company. These two members and their interest are as follows:

James Wayland holds	25.50%
SH Coal Investment, LLC holds	49.00%

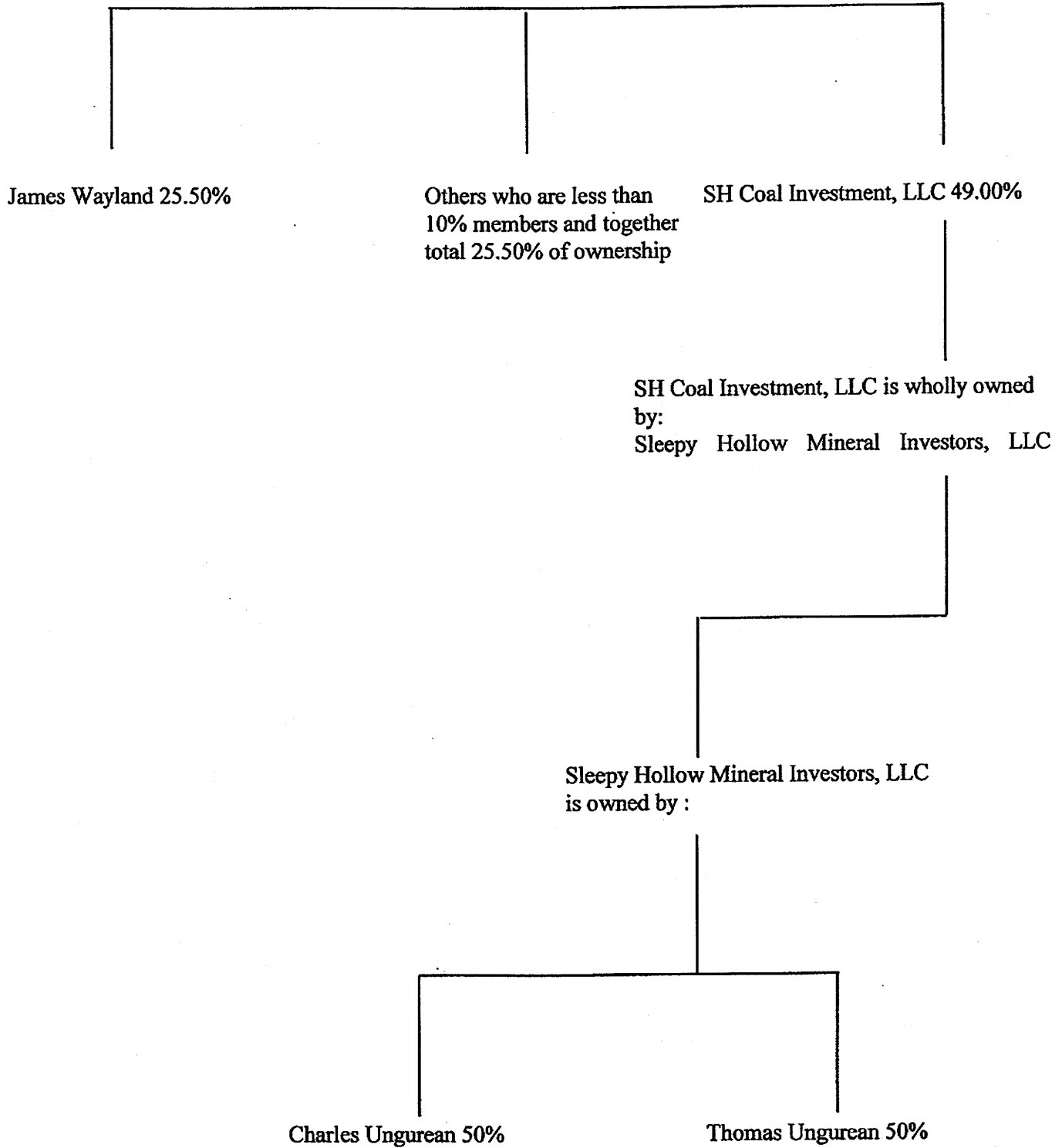
SH Coal Investment, LLC, is a Delaware limited liability company, which is a wholly owned company of Sleepy Hollow Mineral Investors, LLC .

Sleepy Hollow Mineral Investors, LLC is a Delaware limited liability company, which is owned as follows:

Charles Ungurean	50%
Thomas Ungurean	50%

**CHART OF FAMILY TREE
OWNERSHIP AND CONTROL OF
ALTON COAL DEVELOPMENT, LLC**

**CHART
FAMILY TREE
ALTON COAL DEVELOPMENT, LLC**



**OWNERSHIP AND CONTROL
OTHER MINE OPERATIONS
CHARLES UNGUREAN
THOMAS UNGUREAN**

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

PERMIT LIST

Applicant's Name **OXFORD MINING COMPANY, INC.**

Submit the following information for each coal mining operation owned or controlled by either the applicant or by any person who owns or controls the applicant.

Name of Business Entity **Oxford Mining Company, Inc.**
Address **544 Chestnut Street**
City **Coshocton** State **Ohio** Zip **43812-0427**
Telephone **740-622-6302**
EIN **31-1136257** or SSN

Permit Number	State	Regulatory Authority	MSHA Number and Date Issued
D-0287	OH	DMRM	33-03683 11/21/89
D-0361	OH	DMRM	33-03603 11/21/89
D-0533	OH	DMRM	33-04179 11/21/89
D-0671	OH	DMRM	33-02539 09/06/93
D-0676	OH	DMRM	33-00965 12/23/98
D-0680	OH	DMRM	33-00965 12/23/98
D-0796	OH	DMRM	33-03603 11/21/89
D-0838	OH	DMRM	33-00965 12/23/98
D-0865	OH	DMRM	33-04181 11/21/89
D-0877	OH	DMRM	33-00965 12/23/98

If not previously provided, indicate the ownership or control relationship of the business entity with the applicant, including percent of ownership and location in organizational structure:

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

PERMIT LIST

Applicant's Name **OXFORD MINING COMPANY, INC.** 2616

Submit the following information for each coal mining operation owned or controlled by either the applicant or by any person who owns or controls the applicant.

Name of Business Entity **Oxford Mining Company, Inc.**
Address **544 Chestnut Street**
City **Coshocton** State **Ohio** Zip **43812-0427**
Telephone **740-622-6302**
EIN **31-1136257** or SSN

Permit Number	State	Regulatory Authority	MSHA Number and Date Issued
D-0984	OH	DMRM	33-03683 1978
D-1030	OH	DMRM	33-04213 08/23/90
D-1062	OH	DMRM	33-00965 12/23/98
D-1086	OH	DMRM	33-04336 08/12/94
D-1131	OH	DMRM	33-04213 08/23/90
D-1149	OH	DMRM	33-03603 1978
D-1160	OH	DMRM	33-02539 09/06/73
D-1164	OH	DMRM	33-04213 08/23/90
D-1196	OH	DMRM	33-04213 08/23/90
D-0664	OH	DMRM	33-04180 11/21/89

If not previously provided, indicate the ownership or control relationship of the business entity with the applicant, including percent of ownership and location in organizational structure:

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

PERMIT LIST

Applicant's Name **OXFORD MINING COMPANY, INC.** 3 of 6

Submit the following information for each coal mining operation owned or controlled by either the applicant or by any person who owns or controls the applicant.

Name of Business Entity **Oxford Mining Company, Inc.**
Address **544 Chestnut Street**
City **Coshocton** State **Ohio** Zip **43812-0427**
Telephone **419-622-8302**
EIN **31-1136257** or SSN

Permit Number	State	Regulatory Authority	MSHA Number and Date Issued
D-2008	OH	DMRM	33-04213 08/23/90
D-2022	OH	DMRM	33-04414 04/01/98
D-2097	OH	DMRM	33-03930 05/03/04
D-2109	OH	DMRM	33-04180 11/21/89
D-2110	OH	DMRM	33-04214 08/23/90
D-2115	OH	DMRM	33-04180 11/21/89
D-2121	OH	DMRM	33-00965 12/23/98
D-2122	OH	DMRM	33-00965 12/23/98
D-2146	OH	DMRM	33-03603 1978
D-2198	OH	DMRM	33-00965 12/23/98

If not previously provided, indicate the ownership or control relationship of the business entity with the applicant, including percent of ownership and location in organizational structure:

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

PERMIT LIST

Applicant's Name **OXFORD MINING COMPANY, INC.** 4816

Submit the following information for each coal mining operation owned or controlled by either the applicant or by any person who owns or controls the applicant.

Name of Business Entity **Oxford Mining Company, Inc.**
Address **544 Chestnut Street**
City **Coshocton** State **Ohio** Zip **43812-9427**
Telephone **614-622-6302**
EIN **31-1136257** or SSN

Permit Number	State	Regulatory Authority	MSHA Number and Date Issued
D-2201	OH	DMRM	33-02539 09/06/73
D-2202	OH	DMRM	33-02539 9/06/73
D-2206	OH	DMRM	33-03603 1978
D-2215	OH	DMRM	33-04538 10/13/04
D-2225	OH	DMRM	33-03603 1978
D-2244	OH	DMRM	33-04213 08/23/90
D-2245	OH	DMRM	33-03603 1978
D-2248	OH	DMRM	33-03770 04/21/05
D-2254	OH	DMRM	33-04386 08/12/94
D-2265	OH	DMRM	33-04180 11/21/89

If not previously provided, indicate the ownership or control relationship of the business entity with the applicant, including percent of ownership and location in organizational structure:

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

PERMIT LIST

Applicant's Name **OXFORD MINING COMPANY, INC.** 5-078

Submit the following information for each coal mining operation owned or controlled by either the applicant or by any person who owns or controls the applicant.

Name of Business Entity **Oxford Mining Company, Inc.**
Address **541 Chestnut Street**
City **Coshocton** State **Ohio** Zip **43812-0427**
Telephone **740-622-6302**
EIN **31-1136257** or SSN

Permit Number	State	Regulatory Authority	MSHA Number and Date Issued
D-2272	OH	DMRM	33-04413 11/03/98
D-2276	OH	DMRM	33-04479 11/30/03
D-2277	OH	DMRM	33-04413 11/03/98
D-2290	OH	DMRM	33-03770 04/21/05
D-2295	OH	DMRM	33-04480 11/21/89
S-2003-04	WV	WVDEP	46-09067 10/03/04
D-1040	OH	DMRM	33-04385 01/14/97

If not previously provided, indicate the ownership or control relationship of the business entity with the applicant, including percent of ownership and location in organizational structure:

Part 1: Section A

OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT

PERMIT LIST

Applicant's Name **OXFORD MINING COMPANY, INC.** 6 of 6

Submit the following information for each coal mining operation owned or controlled by either the applicant or by any person who owns or controls the applicant.

Name of Business Entity **Daron Coal Company, Inc.**
Address **42600 Deersville Ridge Road**
City **Adiz** State **OH** Zip **43907**
Telephone **614-342-4393**
EIN **34-1437835** or SSN **XXXX**

Permit Number	State	Regulatory Authority	MSHA Number and Date Issued
D-1075	OH	DMRM	33-03915 15/17/94
D-1181	OH	DMRM	33-04413 11/03/98

If not previously provided, indicate the ownership or control relationship of the business entity with the applicant, including percent of ownership and location in organizational structure:

Oxford Mining Company, Inc. holds 100% ownership of Daron Coal Company, Inc. as of May 1, 2000.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

PERMIT LIST

Applicant's Name **HARRISON RESOURCES, LLC**

Submit the following information for each coal mining operation owned or controlled by either the applicant or by any person who owns or controls the applicant.

Name of Business Entity **Harrison Resources, LLC**
Address **P.O. Box 205**
City **Cadiz** State **OH** Zip **43907**
Telephone **(740) 942-4393**
EIN **57-1254445** or SSN

Permit Number	State	Regulatory Authority	MSHA Number and Date Issued	
D-2289	OH	DMRM	33-04577	10/12/06

If not previously provided, indicate the ownership or control relationship of the business entity with the applicant, including percent of ownership and location in organizational structure:

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

PERMIT LIST

Applicant's Name **OXFORD MINING COMPANY, LLC**

Submit the following information for each coal mining operation owned or controlled by either the applicant or by any person who owns or controls the applicant.

Name of Business Entity **Daron Coal Company, Inc.**
Address **42600 Deersville Ridge Road**
City **CADIZ** State **OH** Zip **43907**
Telephone **740-942-4393**
EIN **31-1437835** or SSN (last 4 digits) **XXX-XX-XXXX**

Permit Number	State	Regulatory Authority	MSHA Number and Date Issued
D-1075	OH	DMRM	33-03915 05/17/94
D-1181	OH	DMRM	33-04413 05/01/00

If not previously provided, indicate the ownership or control relationship of the business entity with the applicant, including percent of ownership and location in organizational structure:

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

PERMIT LIST

Applicant's Name **OXFORD MINING COMPANY, LLC**

Submit the following information for each coal mining operation owned or controlled by either the applicant or by any person who owns or controls the applicant.

Name of Business Entity **Oxford Mining Company - Kentucky, LLC**

Address **544 Chestnut Street**

City **Coshocton** State **OH** Zip **43812**

Telephone **(740)622-6302**

EIN **27-0990926** or SSN (last 4 digits) **XXX-XX-XXXX**

Permit Number	State	Regulatory Authority	MSHA Number and Date Issued
889-0154	KY	KDNR	15-19303 10/01/09
889-0155	KY	KDNR	15-19365 10/01/09
889-0156	KY	KDNR	15-18622 10/01/09
889-0157	KY	KDNR	15-18905 10/01/09

If not previously provided, indicate the ownership or control relationship of the business entity with the applicant, including percent of ownership and location in organizational structure:

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

PERMIT LIST

Applicant's Name **OXFORD MINING COMPANY, LLC**

Submit the following information for each coal mining operation owned or controlled by either the applicant or by any person who owns or controls the applicant.

Name of Business Entity **Harrison Resources, LLC**

Address **P.O. Box 205**

City **Cadiz** State **Ohio** Zip **43907**

Telephone **740-942-4393**

EIN **57-1234445** or SSN (last 4 digits) **XXX-XX-XXXX**

Permit Number	State	Regulatory Authority	MSHA Number and Date Issued
D-2289	OH	DMRM	33-04577 10/12/06

If not previously provided, indicate the ownership or control relationship of the business entity with the applicant, including percent of ownership and location in organizational structure:

Part 1: Section A

Oxford Mining Company, LLC
Application No.
Addendum to Permit List

Oxford Mining Company, LLC
 544 Chestnut Street
 Coshocton, OH 43812-0427
 740-622-6302 EIN 31-1136257

Permit Number	State	Regulatory Authority	MSHA Number and Date Issued	
D-0287	OH	DMRM	33-04179	01/30/03
D-0361	OH	DMRM	33-04179	01/30/03
D-0533	OH	DMRM	33-04179	01/30/03
D-0661	OH	DMRM	33-03288	12/23/98
D-0671	OH	DMRM	33-02539	09/06/73
D-0676	OH	DMRM	33-00965	12/23/98
D-0680	OH	DMRM	33-00965	12/23/98
D-0796	OH	DMRM	33-04179	01/30/03
D-0838	OH	DMRM	33-02937	12/23/98
D-0865	OH	DMRM	33-04181	11/21/89
D-0877	OH	DMRM	33-00965	12/23/98
D-0981	OH	DMRM	33-04179	01/30/03
D-1030	OH	DMRM	33-04213	08/23/90
D-1040	OH	DMRM	33-04385	01/14/97
D-1062	OH	DMRM	33-00965	12/23/98
D-1086	OH	DMRM	33-04336	08/12/94
D-1131	OH	DMRM	33-04213	08/23/90
D-1149	OH	DMRM	33-04179	01/30/03
D-1160	OH	DMRM	33-04179	01/30/03
D-1164	OH	DMRM	33-04213	08/23/90
D-1196	OH	DMRM	33-04213	08/23/90
D-2008	OH	DMRM	33-04213	08/23/90
D-2014	OH	DMRM	33-04594	09/13/07
D-2022	OH	DMRM	33-04414	04/01/98
D-2097	OH	DMRM	33-03930	05/03/04
D-2109	OH	DMRM	33-04179	01/30/03
D-2110	OH	DMRM	33-04213	08/23/90
D-2115	OH	DMRM	33-04180	11/21/89
D-2121	OH	DMRM	33-04414	04/01/98
D-2122	OH	DMRM	33-00965	12/23/98
D-2146	OH	DMRM	33-04179	01/30/03
D-2161	OH	DMRM	33-04414	04/01/98
D-2198	OH	DMRM	33-00965	12/23/98
D-2201	OH	DMRM	33-04180	11/21/89
D-2202	OH	DMRM	33-04180	11/21/89
D-2206	OH	DMRM	33-04179	01/30/03
D-2215	OH	DMRM	33-04538	10/13/04
D-2225	OH	DMRM	33-04179	01/30/03
D-2238	OH	DMRM	33-00965	12/23/98
D-2244	OH	DMRM	33-04213	08/23/90
D-2245	OH	DMRM	33-04179	01/30/03
D-2248	OH	DMRM	33-03770	04/21/05
D-2254	OH	DMRM	33-04336	08/12/94
D-2255	OH	DMRM	33-04584	04/24/07
D-2265	OH	DMRM	33-04180	01/30/03
D-2266	OH	DMRM	33-04584	04/24/07
D-2272	OH	DMRM	33-04414	04/01/98
D-2276	OH	DMRM	33-04179	01/30/03
D-2277	OH	DMRM	33-04413	05/01/00
D-2283	OH	DMRM	33-04584	04/24/07
D-2290	OH	DMRM	33-03770	04/21/05
D-2295	OH	DMRM	33-04180	01/30/03
D-2313	OH	DMRM	33-00965	12/23/98
D-2315	OH	DMRM	33-00965	12/23/98
D-2318	OH	DMRM	33-04336	08/12/94
D-2324	OH	DMRM	33-04179	01/30/03
D-2525	OH	DMRM	33-04179	01/30/03
D-2329	OH	DMRM	33-04179	01/30/03

D-2330	OH	DMRM	33-04179	01/30/03
D-2334	OH	DMRM	33-04624	03/03/09
D-2340	OH	DMRM	33-04179	01/30/03
S-2003-04	WV	WVDEP	46-09067	10/13/04
63070102	PA	PADEP	36-09573	11/06/07
63080104	PA	PADEP	46-09067	10/13/04

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

PENDING APPLICATION LIST

Applicant's Name **OXFORD MINING COMPANY, LLC**

Provide the following information for each pending coal mining application for either the applicant or any person who owns or controls the applicant.

Indicate the business entity for which this listing has been completed
Oxford Mining Company - Kentucky, LLC

Application No.	Name of Regulatory Authority	State
889-0130	KDNR	KY
889-0137	KDNR	KY
889-0148	KDNR	KY
889-0149	KDNR	KY
889-0153	KDNR	KY

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

PENDING APPLICATION LIST

Applicant's Name **OXFORD MINING COMPANY, LLC**

Provide the following information for each pending coal mining application for either the applicant or any person who owns or controls the applicant.

Indicate the business entity for which this listing has been completed
Oxford Mining Company, LLC

Application No.	Name of Regulatory Authority	State
10399	DMRM	OH
10411	DMRM	OH
10420	DMRM	OH
D-2097-4	DMRM	OH
10432	DMRM	OH
10434	DMRM	OH
10438	DMRM	OH
10440	DMRM	OH
D-2340-1	DMRM	OH

Part 1: Section A



U.S. Department of the Interior Office of Surface Mining Applicant/Violator System

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Entity Number: 107810

Entity Name: Ungurean

* First Name: Charles

Middle Name: C

Alias:

Tax ID:

Memo:

Created: 6/22/1989

Updated: 4/7/1993

Source: Migration

Entity Type: Individual

Locked: N

Show Results: 10

Find By Entity #, Bus. Name, Last Name ☐ Fast 107810

Entity#	EName	FName	Middle	LCK	ETType	Select
130537	Ungurean	C	C	N	Individual	Select
107810	Ungurean	Charles	C	N	Individual	Select
089568	Ungurean	Debra	L	N	Individual	Select
064575	Ungurean	Michael	M	N	Individual	Select
064574	Ungurean	Thomas	T	N	Individual	Select

[Addresses](#) [Relationships](#) [Applications](#) [Permits](#) [Comments](#)

Parent	Entity	SubEntity	Desc.	% Own	begin	End	Hold	HoldSrc	Create	Update	ExtDesc	By
58142	Apex Mining Co Inc	107810	Ungurean Charles President	0	1/1/1901		None		10/11/1987	9/22/1989	OH	
73711	Wills Creek Energy Co	107810	Ungurean Charles Director	0	1/1/1901		None		10/11/1987	9/12/1991	OH	
73711	Wills Creek Energy Co	107810	Ungurean Charles President	0	1/1/1901		None		10/11/1987	9/12/1991	OH	
73711	Wills Creek Energy Co	107810	Ungurean Charles Shareholder	0	1/1/1901		None		10/11/1987	9/12/1991	OH	
73711	Wills Creek Energy Co	107810	Ungurean Charles Vice President	0	1/1/1901		None		10/11/1987	9/12/1991	OH	
246698	Oxford Mining Company, LLC	107810	Ungurean Charles Chief Executive Officer	0	9/19/2007		None		5/5/2008	5/5/2008	lkeene@osmre.gov	
246698	Oxford Mining Company, LLC	107810	Ungurean Charles President	0	8/17/2007		None		9/19/2007	9/19/2007	robert.lasley@dnr.state.oh.us	
246700	C & T Coal Incorporated	107810	Ungurean Charles Treasurer	0	8/13/2007		None		5/5/2008	5/5/2008	lkeene@osmre.gov	
246700	C & T Coal Incorporated	107810	Ungurean Charles Director	0	8/13/2007		None		5/5/2008	5/5/2008	lkeene@osmre.gov	
246700	C & T Coal Incorporated	107810	Ungurean Charles President	0	8/13/2007		None		5/5/2008	5/5/2008	lkeene@osmre.gov	

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U.S. Department of the Interior Office of Surface Mining Applicant/Violator System

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Entity Number: 064574

Entity Name: Ungurean

* First Name: Thomas

Middle Name: T

Alias:

Tax ID:

Memo:

Created: 10/11/1987

Updated: 4/7/1993

Source: Migration

Entity Type: Individual

Locked: N

Show Results: 10

Find By Entity #, Bus. Name, Last Name ☐ Fast 64574

Entity#	EName	FName	Middle	LCK	EType	
130537	Ungurean	C	C	N	Individual	Select
107810	Ungurean	Charles	C	N	Individual	Select
089568	Ungurean	Debra	L	N	Individual	Select
064575	Ungurean	Michael	M	N	Individual	Select
064574	Ungurean	Thomas	T	N	Individual	Select

[Addresses](#) [Relationships](#) [Applications](#) [Permits](#) [Comments](#)

Parent	Entity	SubEntity	Desc.	% Own	begin	End	Hold	HoldSec	Create	Update	ExtDesc	By
58142	Apex Mining Co Inc	64574	Ungurean Thomas Vice President	0	1/1/1901		None		10/11/1987	1/1/1901	OH	
73711	Wills Creek Energy Co	64574	Ungurean Thomas Director	0	1/1/1901		None		10/11/1987	9/22/1989	OH	
73711	Wills Creek Energy Co	64574	Ungurean Thomas Shareholder	0	1/1/1901		None		10/11/1987	9/22/1989	OH	
73711	Wills Creek Energy Co	64574	Ungurean Thomas Vice President	0	1/1/1901		None		10/11/1987	9/22/1989	OH	
246698	Oxford Mining Company, LLC	64574	Ungurean Thomas Vice President	0	9/19/2007		None		10/4/2007	10/4/2007	Vice President of Mining Equipment robert.lasley@dnr.state.oh.us	
246700	C & T Coal Incorporated	64574	Ungurean Thomas Vice President	0	8/13/2007		None		5/5/2008	5/5/2008	lkeene@osmre.gov	
246700	C & T Coal Incorporated	64574	Ungurean Thomas Director	0	8/13/2007		None		5/5/2008	5/5/2008	lkeene@osmre.gov	
246700	C & T Coal Incorporated	64574	Ungurean Thomas Secretary	0	8/13/2007		None		5/5/2008	5/5/2008	lkeene@osmre.gov	
246700	C & T Coal Incorporated	64574	Ungurean Thomas Shareholder	50	8/13/2007		None		9/19/2007	9/19/2007	robert.lasley@dnr.state.oh.us	
246701	Oxford Resources GP, LLC	64574	Ungurean Thomas Vice President	0	8/24/2007		None		9/20/2007	9/20/2007	Mining Equipment robert.lasley@dnr.state.oh.us	

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**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

NOV LIST

Applicant's Name **Oxford Mining Company Kentucky, LLC**

Permit Number	Violation Number	Date of Issuance	Issuing Agency	State	Brief Description of NOV	Action Taken to Abate NOV	Current Status of NOV (*)
889-0155	13-0267	3/3/10	KDNR	KY	Exceeded effluent limits from sediment structure SB#8.	Repaired spillway.	Terminated
889-0155	13-0284	5/27/10	KDNR	KY	Failed to construct a pond prior to disturbance.	Construct a pond.	Terminated
889-0155	13-0286	6/7/10	KDNR	KY	Conducted activities within 150' of RR BZ without approval.	Obtain an approved issuance.	Terminated
889-0155	13-0287	6/29/10	KDNR	KY	Disturbed the integrity of sediment basin 18's embankment.	Reconstruct & recertify the embankment.	Terminated

(*) If administrative or judicial proceedings have been initiated concerning any of the violations, identify the violation and provide an addendum indicating the date, location, type of proceeding, and current status.

Part 1: Section B

[illegible]

[illegible]

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 1 of 4

This attachment is to be completed and submitted with the permit application if the applicant is other than a single proprietorship. Provide the following for all partners, officers, directors, and stockholders owning ten percent or more of any class of voting stock or other instruments of ownership, and any other person performing a function similar to a director. Persons holding or who have held multiple positions must be listed separately for each position. If any owner or controller listed is a business entity and not an individual, also complete an Owners and Controllers for that business entity.

Name of business entity **Oxford Mining Company, LLC**

Name **Oxford Resource Partners, LP**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **Owner**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **100%** Date of ownership **8/24/2007**

Name **Charles C. Ungurean**

Street address **8400 Dunsinane Drive**

City **Dublin** State **Ohio** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **President**

Date position assumed/ended (if applicable) **8/17/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **Jeffrey M. Gutman**

Street address **7067 Rob Roy Drive**

City **Dublin** State **Ohio** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Treasurer**

Date position assumed/ended (if applicable) **6/1/2008/N/A**

Percent of ownership **N/A** Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 2 of 4

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Name of business entity **Oxford Mining Company, LLC**

Name **Michael B. Gardner**

Street address **22132 Westchester Road**

City **Shaker Heights** State **OH** Zip **44122**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Secretary**

Date position assumed/ended (if applicable) **9/19/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **Linda D. Whittis**

Street address **869 Green Drive**

City **Coshocton** State **OH** Zip **43812**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Assistant Secretary**

Date position assumed/ended (if applicable) **9/19/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **Charles C. Ungurean**

Street address **8400 Dunsinane Drive**

City **Dublin** State **OH** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Chief Executive Officer**

Date position assumed/ended (if applicable) **9/19/2007/N/A**

Percent of ownership **N/A** Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 3 of 4

This attachment is to be completed and submitted with the permit application if the applicant is other than a single proprietorship. Provide the following for all partners, officers, directors, and stockholders owning ten percent or more of any class of voting stock or other instruments of ownership, and any other person performing a function similar to a director. Persons holding or who have held multiple positions must be listed separately for each position. If any owner or controller listed is a business entity and not an individual, also complete an Owners and Controllers for that business entity.

Name of business entity **Oxford Mining Company, LLC**

Name **Thomas T. Ungurean**

Street address **1690 Sleepy Hollow Drive**

City **Coshocton** State **OH** Zip **43812**

EIN **N/A**, or SSN (last 4 digits) xxx-xx-**See Addendum**

Title of position within entity **Vice President of Equipment**

Date position assumed/ended (if applicable) **9/19/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **Jeffrey M. Gutman**

Street address **7067 Rob Roy Drive**

City **Dublin** State **Ohio** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) xxx-xx-**See Addendum**

Title of position within entity **Chief Financial Officer**

Date position assumed/ended (if applicable) **4/1/2008/N/A**

Percent of ownership **N/A** Date of ownership

Name **Jeffrey M. Gutman**

Street address **7067 Rob Roy Drive**

City **Dublin** State **Ohio** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) xxx-xx-**See Addendum**

Title of position within entity **Senior Vice President**

Date position assumed/ended (if applicable) **4/1/2008/N/A**

Percent of ownership **N/A** Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 4 of 4

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Name of business entity **Oxford Mining Company, LLC**

Name **Gregory J. Honish**

Street address **P.O. Box 64**

City **St. Clairsville** State **Ohio** Zip **43950**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Senior Vice President, Operations**

Date position assumed/ended (if applicable) **3/30/2009/N/A**

Percent of ownership **N/A** Date of ownership

Name **Daniel M. Maher**

Street address **8137 Linden Leaf Circle**

City **Columbus** State **Ohio** Zip **43235**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Senior Vice President**

Date position assumed/ended (if applicable) **8/1/2010/N/A**

Percent of ownership **N/A** Date of ownership

Name **Daniel M. Maher**

Street address **8137 Linden Leaf Circle**

City **Columbus** State **Ohio** Zip **43235**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Chief Legal Officer**

Date position assumed/ended (if applicable) **8/1/2010/N/A**

Percent of ownership **N/A** Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC**

This attachment is to be completed and submitted with the permit application if the applicant is other than a single proprietorship. Provide the following for all partners, officers, directors, and stockholders owning ten percent or more of any class of voting stock or other instruments of ownership, and any other person performing a function similar to a director. Persons holding or who have held multiple positions must be listed separately for each position. If any owner or controller listed is a business entity and not an individual, also complete an Owners and Controllers for that business entity.

Name of business entity **Oxford Resource Partners, LP**

Name **C & T Coal, Inc.**

Street address **544 Chestnut Street**

City **Coshocton** State **OH** Zip **43812**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **Limited Partner**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **34.3%** Date of ownership **8/24/2007**

Name **AIM Oxford Holdings, LLC**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **Limited Partner**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **63.7%** Date of ownership **8/24/2007**

Name **Oxford Resources, GP, LLC**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **General Partner**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **2%** Date of ownership **8/24/2007**

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 1 of 7

This attachment is to be completed and submitted with the permit application if the applicant is other than a single proprietorship. Provide the following for all partners, officers, directors, and stockholders owning ten percent or more of any class of voting stock or other instruments of ownership, and any other person performing a function similar to a director. Persons holding or who have held multiple positions must be listed separately for each position. If any owner or controller listed is a business entity and not an individual, also complete an Owners and Controllers for that business entity.

Name of business entity **Oxford Resources GP, LLC**

Name **C & T Coal, Inc.**

Street address **544 Chestnut Street**

City **Coshocton** State **OH** Zip **43812**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **Member**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **35%** Date of ownership **8/24/2007**

Name **AIM Oxford Holdings, LLC**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **Member**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **65%** Date of ownership **8/24/2007**

Name **Charles C. Ungurean**

Street address **8400 Dunsinane Drive**

City **Dublin** State **OH** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Chief Executive Officer**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **N/A** Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 2 of 7

This attachment is to be completed and submitted with the permit application if the applicant is other than a single proprietorship. Provide the following for all partners, officers, directors, and stockholders owning ten percent or more of any class of voting stock or other instruments of ownership, and any other person performing a function similar to a director. Persons holding or who have held multiple positions must be listed separately for each position. If any owner or controller listed is a business entity and not an individual, also complete an Owners and Controllers for that business entity.

Name of business entity **Oxford Resources GP, LLC**

Name **Charles C. Ungurean**

Street address **8400 Dunsinane Drive**

City **Dublin** State **OH** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **President**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **Charles C. Ungurean**

Street address **8400 Dunsinane Drive**

City **Dublin** State **OH** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Director**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **Thomas T. Ungurean**

Street address **1690 Sleepy Hollow Road**

City **Coshocton** State **OH** Zip **43812**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Vice President of Equipment**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **N/A** Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 3 of 7

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Name of business entity **Oxford Resources GP, LLC**

Name **Jeffrey M. Gutman**

Street address **7067 Rob Roy Drive**

City **Dublin** State **OH** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Treasurer**

Date position assumed/ended (if applicable) **6/1/2008/N/A**

Percent of ownership **N/A** Date of ownership

Name **Michael B. Gardner**

Street address **22132 Westchester Road**

City **Shaker Heights** State **OH** Zip **44122**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Secretary**

Date position assumed/ended (if applicable) **9/19/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **Linda D. Whitis**

Street address **869 Green Drive**

City **Coshocton** State **OH** Zip **43812**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Assistant Secretary**

Date position assumed/ended (if applicable) **9/19/2007/N/A**

Percent of ownership **N/A** Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 4 of 7

This attachment is to be completed and submitted with the permit application if the applicant is other than a single proprietorship. Provide the following for all partners, officers, directors, and stockholders owning ten percent or more of any class of voting stock or other instruments of ownership, and any other person performing a function similar to a director. Persons holding or who have held multiple positions must be listed separately for each position. If any owner or controller listed is a business entity and not an individual, also complete an Owners and Controllers for that business entity.

Name of business entity **Oxford Resources GP, LLC**

Name **Brian D. Barlow**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Director**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **George E. McCown**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Chairman of the Board**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **George E. McCown**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Director**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **N/A** Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 5 of 7

This attachment is to be completed and submitted with the permit application if the applicant is other than a single proprietorship. Provide the following for all partners, officers, directors, and stockholders owning ten percent or more of any class of voting stock or other instruments of ownership, and any other person performing a function similar to a director. Persons holding or who have held multiple positions must be listed separately for each position. If any owner or controller listed is a business entity and not an individual, also complete an Owners and Controllers for that business entity.

Name of business entity **Oxford Resources GP, LLC**

Name **Matthew P. Carbone**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Director**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **Jeffrey M. Gutman**

Street address **7067 Rob Roy Drive**

City **Dublin** State **OH** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Chief Financial Officer**

Date position assumed/ended (if applicable) **4/1/2008/N/A**

Percent of ownership **N/A** Date of ownership

Name **Jeffrey M. Gutman**

Street address **7067 Rob Roy Drive**

City **Dublin** State **OH** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Senior Vice President**

Date position assumed/ended (if applicable) **4/1/2008/N/A**

Percent of ownership **N/A** Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 6 of 7

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Name of business entity **Oxford Resources GP, LLC**

Name **Gerald Tywoniuk**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Director**

Date position assumed/ended (if applicable) **1/1/2009/N/A**

Percent of ownership **N/A** Date of ownership

Name **Gregory J. Honish**

Street address **P.O. Box 64**

City **St. Clairsville** State **Ohio** Zip **43950**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Senior Vice President, Operations**

Date position assumed/ended (if applicable) **3/30/2009/N/A**

Percent of ownership **N/A** Date of ownership

Name **Daniel M. Maher**

Street address **8137 Linden Leaf Circle**

City **Columbus** State **Ohio** Zip **43235**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Senior Vice President**

Date position assumed/ended (if applicable) **8/1/2010/N/A**

Percent of ownership **N/A** Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 7 of 7

This attachment is to be completed and submitted with the permit application if the applicant is other than a single proprietorship. Provide the following for all partners, officers, directors, and stockholders owning ten percent or more of any class of voting stock or other instruments of ownership, and any other person performing a function similar to a director. Persons holding or who have held multiple positions must be listed separately for each position. If any owner or controller listed is a business entity and not an individual, also complete an Owners and Controllers for that business entity.

Name of business entity **Oxford Resources GP, LLC**

Name **Daniel M. Maher**

Street address **8137 Linden Leaf Circle**

City **Columbus** State **Ohio** Zip **43235**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Chief Legal Officer**

Date position assumed/ended (if applicable) **8/1/2010///A**

Percent of ownership **N/A** Date of ownership

Name

Street address

City State Zip

EIN , or SSN (last 4 digits) xxx-xx-

Title of position within entity

Date position assumed/ended (if applicable) /

Percent of ownership Date of ownership

Name

Street address

City State Zip

EIN , or SSN (last 4 digits) xxx-xx-

Title of position within entity

Date position assumed/ended (if applicable) /

Percent of ownership Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 1 of 3

This attachment is to be completed and submitted with the permit application if the applicant is other than a single proprietorship. Provide the following for all partners, officers, directors, and stockholders owning ten percent or more of any class of voting stock or other instruments of ownership, and any other person performing a function similar to a director. Persons holding or who have held multiple positions must be listed separately for each position. If any owner or controller listed is a business entity and not an individual, also complete an Owners and Controllers for that business entity.

Name of business entity **C & T Coal, Inc.**

Name **Charles C. Ungurean**

Street address **8400 Dunsinane Drive**

City **Dublin** State **OH** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) xxx-xx-**See Addendum**

Title of position within entity **Shareholder**

Date position assumed/ended (if applicable) **8/13/2007/N/A**

Percent of ownership **50%** Date of ownership **8/13/2007**

Name **Charles C. Ungurean**

Street address **8400 Dunsinane Drive**

City **Dublin** State **OH** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) xxx-xx-**See Addendum**

Title of position within entity **Director**

Date position assumed/ended (if applicable) **8/13/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **Charles C. Ungurean**

Street address **8400 Dunsinane Drive**

City **Dublin** State **OH** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) xxx-xx-**See Addendum**

Title of position within entity **President**

Date position assumed/ended (if applicable) **8/13/2007/N/A**

Percent of ownership **N/A** Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 2 of 3

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Name of business entity **C & T Coal, Inc.**

Name **Charles C. Ungurean**

Street address **8400 Dunsinane Drive**

City **Dublin** State **OH** Zip **43017**

EIN **N/A**, or SSN (last 4 digits) xxx-xx-**See Addendum**

Title of position within entity **Treasurer**

Date position assumed/ended (if applicable) **8/13/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **Thomas T. Ungurean**

Street address **1690 Sleepy Hollow Drive**

City **Coshocton** State **OH** Zip **43812**

EIN **N/A**, or SSN (last 4 digits) xxx-xx-**See Addendum**

Title of position within entity **Shareholder**

Date position assumed/ended (if applicable) **8/13/2007/N/A**

Percent of ownership **50%** Date of ownership **8/13/2007**

Name **Thomas T. Ungurean**

Street address **1690 Sleepy Hollow Drive**

City **Coshocton** State **OH** Zip **43812**

EIN **N/A**, or SSN (last 4 digits) xxx-xx-**See Addendum**

Title of position within entity **Director**

Date position assumed/ended (if applicable) **8/13/2007/N/A**

Percent of ownership **N/A** Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 3 of 3

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Name of business entity **C & T Coal, Inc.**

Name **Thomas T. Ungurean**

Street address **1690 Sleepy Hollow Drive**

City **Coshocton** State **OH** Zip **43812**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Vice President**

Date position assumed/ended (if applicable) **8/13/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **Thomas T. Ungurean**

Street address **1690 Sleepy Hollow Drive**

City **Coshocton** State **OH** Zip **43812**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-See Addendum**

Title of position within entity **Secretary**

Date position assumed/ended (if applicable) **8/13/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name

Street address

City State Zip

EIN , or SSN (last 4 digits) **xxx-xx-**

Title of position within entity

Date position assumed/ended (if applicable) **/**

Percent of ownership Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 1 of 2

This attachment is to be completed and submitted with the permit application if the applicant is other than a single proprietorship. Provide the following for all partners, officers, directors, and stockholders owning ten percent or more of any class of voting stock or other instruments of ownership, and any other person performing a function similar to a director. Persons holding or who have held multiple positions must be listed separately for each position. If any owner or controller listed is a business entity and not an individual, also complete an Owners and Controllers for that business entity.

Name of business entity **AIM Oxford Holdings, LLC**

Name **American Infrastructure MLP, Fund, L.P.**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **Member**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **26.62%** Date of ownership **8/24/2007**

Name **American Infrastructure MLP Private Equity Fund, L.P.**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **Member**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership **45.91%** Date of ownership **8/24/2007**

Name **AIM Coal LLC**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **Member**

Date position assumed/ended (if applicable) **8/15/2007/N/A**

Percent of ownership **27.47%** Date of ownership **8/15/2007**

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC** Page 2 of 2

This attachment is to be completed and submitted with the permit application if the applicant is other than a single proprietorship. Provide the following for all partners, officers, directors, and stockholders owning ten percent or more of any class of voting stock or other instruments of ownership, and any other person performing a function similar to a director. Persons holding or who have held multiple positions must be listed separately for each position. If any owner or controller listed is a business entity and not an individual, also complete an Owners and Controllers for that business entity.

Name of business entity **AIM Oxford Holdings, LLC**

Name **AIM Coal Management, LLC**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **Manager**

Date position assumed/ended (if applicable) **8/24/2007/N/A**

Percent of ownership Date of ownership

Name

Street address

City State Zip

EIN , or SSN (last 4 digits) **xxx-xx-**

Title of position within entity

Date position assumed/ended (if applicable) /

Percent of ownership Date of ownership

Name

Street address

City State Zip

EIN , or SSN (last 4 digits) **xxx-xx-**

Title of position within entity

Date position assumed/ended (if applicable) /

Percent of ownership Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC**

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Name of business entity **AIM Coal LLC**

Name **AIM Coal Management, LLC**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **Manager**

Date position assumed/ended (if applicable) **8/14/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **AIM Coal Management, LLC**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **Member**

Date position assumed/ended (if applicable) **8/15/2007/N/A**

Percent of ownership **N/A** Date of ownership

Name **Carl Grover**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **N/A**, or SSN (last 4 digits) **xxx-xx-Declined**

Title of position within entity **Member**

Date position assumed/ended (if applicable) **8/15/2007/N/A**

Percent of ownership **17** Date of ownership **8/15/2007**

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC**

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Name of business entity **American Infrastructure MLP Fund, L.P.**

Name **American Infrastructure MLP Management, LLC**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **General Partner**

Date position assumed/ended (if applicable) **3/6/2006/N/A**

Percent of ownership **2.63%** Date of ownership **3/6/2006**

Name **American Infrastructure MLP Management, LLC**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **Manager**

Date position assumed/ended (if applicable) **3/6/2006/N/A**

Percent of ownership **N/A** Date of ownership

Name * **Note: NO EQUITY OWNERS GREATER THAN 10%**

Street address

City State Zip

EIN , or SSN (last 4 digits) **xxx-xx-**

Title of position within entity

Date position assumed/ended (if applicable) **/**

Percent of ownership Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A

**OHIO DEPARTMENT OF NATURAL RESOURCES
DIVISION OF MINERAL RESOURCES MANAGEMENT**

OWNERS AND CONTROLLERS

Applicant's Name **Oxford Mining Company, LLC**

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Name of business entity **American Infrastructure MLP Private Equity Fund, L.P.**

Name **American Infrastructure MLP PE Management, LLC**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **General Partner**

Date position assumed/ended (if applicable) **11/15/2006/N/A**

Percent of ownership **1.92%** Date of ownership **11/15/2006**

Name **American Infrastructure MLP PE Management, LLC**

Street address **950 Tower Lane, Suite 800**

City **Foster City** State **CA** Zip **94404**

EIN **See Addendum**, or SSN (last 4 digits) **xxx-xx-N/A**

Title of position within entity **Manager**

Date position assumed/ended (if applicable) **11/15/2006/N/A**

Percent of ownership **N/A** Date of ownership

Name * **Note: NO EQUITY OWNERS GREATER THAN 10%**

Street address

City State Zip

EIN , or SSN (last 4 digits) **xxx-xx-**

Title of position within entity

Date position assumed/ended (if applicable) **/**

Percent of ownership Date of ownership

Submit and identify additional pages necessary to complete response.

Part 1: Section A